

**IN THE UNITED STATES DISTRICT COURT  
FOR THE WESTERN DISTRICT OF TEXAS  
AUSTIN DIVISION**

SECURITIES AND EXCHANGE COMMISSION,	§	
<i>Plaintiff,</i>	§	
	§	
v.	§	Civil Action No. 1:13-cv-01036-ML
	§	
ROBERT A. HELMS, ET AL.,	§	
<i>Defendants,</i>	§	
	§	
and	§	
	§	
WILLIAM L. BARLOW AND GLOBAL CAPITAL VENTURES, LLC,	§	
<i>Relief Defendants, solely for the purposes of equitable relief.</i>	§	
	§	

**JOINT ADR REPORT  
BY RECEIVER AND CLOVIS CAPITAL VENTURES, LLC**

COME NOW Thomas L. Taylor III (“Receiver”), solely in his capacity as Court-appointed Receiver for the Defendants in the above-styled action, and Intervenor Clovis Capital Ventures, LLC (“Clovis”) and, pursuant to the Ancillary Scheduling Order (Doc. 149) and Local Rule CV-88, make the following ADR Report:

- (1) On December 12, 2014 the Receiver and Clovis participated in a mediation before William G. Arnot, III, Chief Justice, 11th Court of Appeals, Texas (Ret.) at the offices of Siegmyer, Oshman & Bissinger LLP;
- (2) The parties selected Judge Arnot as mediator by agreement;
- (3) Judge Arnot’s mediation fee of \$3,500 was paid 50% each by the Receiver and Clovis;

- (4) Jack Ballard, counsel for Receiver, is responsible for settlement negotiations for Receiver;
- (5) Will Terpening, counsel for Clovis, is responsible for settlement negotiations for Clovis;
- (6) The mediation did not result in a settlement between the parties.

Dated: January 7, 2015

THE TAYLOR LAW OFFICES, P.C.

By:     /s/ Andrew M. Goforth    

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