

**IN THE UNITED STATES DISTRICT COURT  
FOR THE WESTERN DISTRICT OF TEXAS  
AUSTIN DIVISION**

SECURITIES AND EXCHANGE	§	
COMMISSION,	§	
Plaintiff,	§	
	§	
V.	§	
	§	
ROBERT A. HELMS, ET AL.,	§	A-13-CV-1036 ML
Defendants,	§	
	§	
and	§	
	§	
WILLIAM L. BARLOW and GLOBAL	§	
CAPITAL VENTURES, LLC,	§	
Relief Defendants, solely for	§	
the purpose of equitable relief.	§	

**ORDER REGARDING ALTERNATIVE DISPUTE RESOLUTION**

Before the Court is the above-styled cause of action. The Court previously issued an order mistakenly requiring court-ordered arbitration. The Court hereby **VACATES** the prior order (Clerk’s Dkt. No. 148) and issues the following order.

The undersigned held a hearing on various motions on October 22, 2014. In attendance were counsel for the Securities and Exchange Commission (“SEC”), counsel for the Receiver, Thomas L. Taylor, counsel for Clovis Capital Ventures, LLC (“Clovis”), and counsel for Amegy Bank National Association (“Amegy”). At the hearing, counsel for Clovis made an oral motion for court-ordered alternative dispute resolution (“ADR”). When the attorneys were given an opportunity to object to the oral motion, there were no objections.

At issue is the scope of the proposed ADR. Counsel for the SEC requests ADR for “the limited purpose of litigating [Clovis’] purported security interest.” Clovis’ counsel requests the scope should be slightly expanded to “address issues related to Clovis’ security interest.” Noting the

requests, Court limits ADR to address issues relating to Clovis' purported security interest.

For the reasons set forth above, it is hereby **ORDERED** that Clovis' unopposed oral motion for court-ordered ADR is **GRANTED**. ADR must be completed on the above issue by **Wednesday, January 7, 2015**.

**SIGNED** on October 23, 2014.



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MARK LANE  
UNITED STATES MAGISTRATE JUDGE