

**IN THE UNITED STATES DISTRICT COURT
FOR THE WESTERN DISTRICT OF TEXAS
AUSTIN DIVISION**

THOMAS L. TAYLOR III, SOLELY IN HIS
CAPACITY AS COURT-APPOINTED RECEIVER
FOR ROBERT A. HELMS, ET AL.,

Plaintiff,

v.

PHILIP E. GAUCHER,

Defendant.

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Civil Action No. 1:14-cv-965

PLAINTIFF’S CERTIFICATE OF INTERESTED PARTIES

Plaintiff Thomas L. Taylor III, solely in his capacity as Court-appointed Receiver for Robert A. Helms, *et al.*, provides the following information:

1. A nongovernmental corporate party must file a disclosure statement that (1) identifies any parent corporation and any publicly held corporation owning 10% or more of its stock; or (2) states that there is no such corporation:

None.

2. All persons, associations of persons, firms, partnerships, corporations, affiliates, parent corporations, or other entities that are financially interested in the outcome of this litigation:

- The Receivership Estate of Robert A. Helms, Janniece S. Kaelin, Deven Sellers, Roland Barrera, Vendetta Royalty Partners, Ltd., Vendetta Royalty Management, LLC, Vesta Royalty Partners, LP, Vesta Royalty Management, LLC, Iron Rock Royalty Partners, LP, Iron Rock Royalty Management, LLC, Arcady Resources, LLC, Barefoot Minerals, G.P., G3 Minerals, LLC, Haley Oil Company, Inc., Lake Rock, LLC, SeBud Minerals, LLC and Technicolor Minerals, G.P., in the civil action styled *SEC v. Robert A. Helms, et al.*, Civil Action No. 1:13-cv-01036-ML, in the United States District Court for the Western District of Texas, Austin Division, and all Claimants thereto;
- The Securities and Exchange Commission;
- Defendant Philip E. Gaucher.

Dated: October 23, 2014

Respectfully submitted,

THE TAYLOR LAW OFFICES, P.C.

By: /s/ Andrew M. Goforth

Andrew M. Goforth

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COUNSEL FOR RECEIVER

CERTIFICATE OF SERVICE

On October 23, 2014, I electronically submitted the foregoing document with the clerk of court for the U.S. District Court, Western District of Texas, Austin Division, using the CM/ECF electronic filing system. I hereby certify that I will serve the foregoing document on the Defendant with the Summons and Complaint in this case.

/s/ Andrew M. Goforth

Andrew M. Goforth